IN THE UNITED STATES DISTRICT COURT
FOR THE MIDDLE DISTRICT OF NORTH CAROLINA

UNITED STATES OF AMERICA

1:23CR236-1

v.

:

ERNEZ TOMAZ AUSTIN, also known:

as "Nez Sheisty" and "Oda Nez"

PLEA AGREEMENT

NOW COME the United States of America, by and through Sandra J. Hairston, United States Attorney for the Middle District of North Carolina, and the defendant, ERNEZ TOMAZ AUSTIN, in his own person and through his attorney, Brian M. Aus, and state as follows:

- 1. The defendant, ERNEZ TOMAZ AUSTIN, is presently under Superseding Indictment in case number 1:23CR236-1, which in Counts One and Three charges him with violations of Title 18, United States Code, Section 248(a)(1), obstructing freedom of access to clinic entrances; which in Counts Two and Four charges him with violations of Title 18, United States Code, Section 875(c), communicating threats in interstate commerce; and which in Count Five charges him with a violation of Title 18, United States Code, Sections 922(g)(1) and 924(a)(8), felon in possession of a firearm.
- 2. The defendant, ERNEZ TOMAZ AUSTIN, will enter a voluntary plea of guilty to Counts One, Three, and Five of the Superseding Indictment herein.

The nature of these charges and the elements of these charges, which must be proved by the United States beyond a reasonable doubt before the defendant can be found guilty thereof, have been explained to him by his attorney.

- a. The defendant, ERNEZ TOMAZ AUSTIN, understands that the maximum term of imprisonment provided by law for Counts One and Three of the Superseding Indictment herein is not more than one year, and the maximum fine for Counts One and Three of the Superseding Indictment is \$100,000. If any person derived pecuniary gain from the offense, or if the offense resulted in pecuniary loss to a person other than the defendant, ERNEZ TOMAZ AUSTIN, the defendant may, in the alternative, be fined not more than the greater of twice the gross gain or twice the gross loss unless the Court determines that imposition of a fine in this manner would unduly complicate or prolong the sentencing process. The fine provisions are subject to the provisions of Title 18, United States Code, Section 3571, entitled "Sentence of Fine."
- b. The defendant, ERNEZ TOMAZ AUSTIN, also understands that as to Counts One and Three of the Superseding Indictment herein the Court may include as a part of the sentence a requirement that the defendant be placed on a term of supervised release of not more than one year after imprisonment, pursuant to Title 18, United States Code, Section 3583.

- c. The defendant, ERNEZ TOMAZ AUSTIN, understands that the maximum term of imprisonment provided by law for Count Five of the Superseding Indictment herein is not more than fifteen years, and the maximum fine for Count Five of the Superseding Indictment herein is \$250,000, or both. If any person derived pecuniary gain from the offense, or if the offense resulted in pecuniary loss to a person other than the defendant, ERNEZ TOMAZ AUSTIN, the defendant may, in the alternative, be fined not more than the greater of twice the gross gain or twice the gross loss unless the Court determines that imposition of a fine in this manner would unduly complicate or prolong the sentencing process. The fine provisions are subject to the provisions of Title 18, United States Code, Section 3571, entitled "Sentence of Fine."
- d. The defendant, ERNEZ TOMAZ AUSTIN, also understands that the Court may include as a part of the sentence a requirement that the defendant be placed on a term of supervised release of not more than three years after imprisonment, pursuant to Title 18, United States Code, Section 3583.
- e. The defendant, ERNEZ TOMAZ AUSTIN, further understands that upon the acceptance by the Court of a guilty plea to Count Five of the Superseding Indictment herein, the defendant could be subject to

the enhanced penalty provisions of Title 18, United States Code, Section 924(e)(1) at the time of sentencing if the defendant has three previous convictions by any court for a violent felony or a serious drug offense, committed on occasions different from one another. If the Court determines that Title 18, United States Code, Section 924(e)(1) is applicable, the term of imprisonment shall be not less than fifteen years, nor more than life. In addition, the defendant cannot be placed on probation or receive a suspended sentence. The defendant, ERNEZ TOMAZ AUSTIN, also understands that the Court may include as a part of the sentence a requirement that the defendant be placed on a term of supervised release of not more than five years after imprisonment, pursuant to Title 18, United States Code, Section 3583.

- f. The defendant, ERNEZ TOMAZ AUSTIN, understands that the Court shall order, in addition to any other penalty authorized by law, that the defendant make restitution to any victim of the offense to which he is pleading guilty, pursuant to Title 18, United States Code, Section 3663A(a)(1).
- g. The defendant, ERNEZ TOMAZ AUSTIN, further understands that the sentence to be imposed upon him is within the discretion of the sentencing Court subject to the statutory maximum and mandatory minimum penalties set forth above. The sentencing Court is not bound by the sentencing range prescribed by the United States Sentencing Guidelines.

Nevertheless, the sentencing Court is required to consult the Guidelines and take them into account when sentencing. In so doing, the sentencing Court will first calculate, after making the appropriate findings of fact, the sentencing range prescribed by the Guidelines, and then will consider that range as well as other relevant factors set forth in the Guidelines and those factors set forth in Title 18, United States Code, Section 3553(a) before imposing the sentence.

- h. The defendant, ERNEZ TOMAZ AUSTIN, understands that if he is not a citizen of the United States that entering a plea of guilty may have adverse consequences with respect to his immigration status. The defendant, ERNEZ TOMAZ AUSTIN, nevertheless wishes to enter a voluntary plea of guilty regardless of any immigration consequences his guilty plea might entail, even if such consequence might include automatic removal and possibly permanent exclusion from the United States. The defendant, ERNEZ TOMAZ AUSTIN, further understands that in the event he is a naturalized citizen, entering a plea of guilty may result in denaturalization proceedings being instituted against him leading to his removal and possible permanent exclusion from the United States.
- By voluntarily pleading guilty to Counts One, Three, and Five of the Superseding Indictment herein, the defendant, ERNEZ TOMAZ AUSTIN,

knowingly waives and gives up his constitutional rights to plead not guilty, to compel the United States to prove his guilt beyond a reasonable doubt, not to be compelled to incriminate himself, to confront and cross-examine the witnesses against him, to have a jury or judge determine his guilt on the evidence presented, and other constitutional rights which attend a defendant on trial in a criminal case.

- 4. The defendant, ERNEZ TOMAZ AUSTIN, is going to plead guilty to Counts One, Three, and Five of the Superseding Indictment herein because he is, in fact, guilty and not because of any threats or promises.
 - 5. The extent of the plea bargaining in this case is as follows:
- a. Upon the acceptance by the Court of a guilty plea by the defendant, ERNEZ TOMAZ AUSTIN, to Counts One, Three, and Five of the Superseding Indictment herein, and at the conclusion of the sentencing hearing thereon, the United States of America will not oppose a motion to dismiss the remaining counts of the Superseding Indictment as to the defendant, ERNEZ TOMAZ AUSTIN. This portion of the Plea Agreement is made pursuant to Rule 11(c)(1)(A) of the Federal Rules of Criminal Procedure.
- b. It is understood that if the Court determines at the time of sentencing that the defendant, ERNEZ TOMAZ AUSTIN, qualifies for a 2-level decrease in the offense level under Section 3E1.1(a) of the Sentencing

Guidelines and that the offense level prior to the operation of Section 3E1.1(a) is 16 or greater, then the United States will recommend a decrease in the offense level by 1 additional level pursuant to Section 3E1.1(b) of the Sentencing Guidelines. This portion of the Plea Agreement is made pursuant to Rule 11(c)(1)(B) of the Federal Rules of Criminal Procedure.

- c. The defendant, ERNEZ TOMAZ AUSTIN, hereby abandons interest in, and consents to the official use, destruction, or other disposition of each item seized and/or maintained by any law enforcement agency during the course of the investigation, unless such item is specifically provided for in another section of this plea agreement. The defendant, ERNEZ TOMAZ AUSTIN, waives any and all notice of any proceeding to implement the official use, destruction, or other disposition of such items.
- d. The defendant, ERNEZ TOMAZ AUSTIN, understands that the Court shall order, in addition to any other penalty authorized by law, that the defendant make restitution to any victims of the offenses to which he is pleading guilty, pursuant to Title 18, United States Code, Section 3663A(a)(1). The defendant, ERNEZ TOMAZ AUSTIN, further agrees to pay restitution, as determined by the Court, to any victims harmed by defendant's "relevant conduct," as defined by U.S.S.G. § 1B1.3, pursuant to Title 18, United States Code, Section 3663A(a)(3).

- e. The defendant, ERNEZ TOMAZ AUSTIN, waives all rights, whether asserted directly or through a representative, to request or receive from any department or agency of the United States any record pertaining to the investigation or prosecution of this case under the authority of the Freedom of Information Act, 5 U.S.C. § 552, or the Privacy Act of 1974, 5 U.S.C. § 552a, and all subsequent amendments thereto.
- f. Rule 11(f) of the Federal Rules of Criminal Procedure and Rule 410 of the Federal Rules of Evidence ordinarily limit the admissibility of statements made by a defendant during the course of plea proceedings. The defendant, ERNEZ TOMAZ AUSTIN, knowingly and voluntarily waives the protections of these rules as it relates to plea proceedings. If the defendant, ERNEZ TOMAZ AUSTIN, pleads guilty and later seeks to withdraw his guilty plea (or seeks to directly appeal or collaterally attack his conviction), any statement made at the plea proceedings in connection with such plea, and any leads derived therefrom, shall be admissible for any and all purposes.
- 6. With regard to forfeiture, the United States and the defendant, ERNEZ TOMAZ AUSTIN, agree as follows:
- a. The defendant, ERNEZ TOMAZ AUSTIN, knowingly and voluntarily consents and agrees to forfeit to the United States all right, title, and interest in and to any firearms or ammunition involved or used in the

commission of the offense alleged in Count Five to which the defendant is pleading guilty. The property to be forfeited includes, but is not limited to, the following:

 American Tactical, model OMNI Hybrid, .300 Blackout pistol, serial number NS303549.

The defendant acknowledges the defendant's interest in the foregoing property is subject to forfeiture based on the offense alleged in Count Five to which the defendant is pleading guilty.

b. The defendant further agrees to waive all interest in any such asset in any administrative or judicial forfeiture proceeding, whether criminal or civil, state or federal. The defendant withdraws any claim submitted in a previous administrative forfeiture and waives all timing requirements applicable to the administrative forfeiture process, including but not limited to the deadline for sending notice of administrative forfeiture. The defendant consents to the entry of orders of forfeiture for such property and waives the requirements of Federal Rules of Criminal Procedure 32.2 and 43(a) regarding notice of the forfeiture in the charging instrument, announcement of the forfeiture at sentencing, and incorporation of the forfeiture in the judgment. The defendant acknowledges that the forfeiture of assets is part of the sentence that may be imposed in this case and waives any failure by the court to advise

them of this, pursuant to Rule 11(b)(1)(J), at the time the guilty plea is accepted.

- c. The defendant knowingly and voluntarily waives their right to a jury trial on the forfeiture of assets. The defendant further knowingly and voluntarily waives all constitutional, legal and equitable claims, defenses and challenges to the forfeiture of assets in any proceeding, administrative, criminal or civil, any jeopardy defense or claim of double jeopardy, and any claim or defense under the Eighth Amendment to the United States Constitution.
- d. The defendant agrees that the forfeiture provisions of this plea agreement are intended to, and will, survive the defendant, notwithstanding the abatement of any underlying criminal conviction after the execution of this agreement. The forfeitability of any particular property pursuant to this agreement shall be determined as if the defendant had survived, and that determination shall be binding upon the defendant's heirs, successors and assigns.
- e. The defendant agrees and understands that the abandonment, administrative forfeiture, civil judicial forfeiture, or criminal forfeiture of any property shall not be treated as satisfaction of any assessment, fine,

restitution, cost of imprisonment, or any other penalty this Court may impose upon the defendant in addition to the forfeiture.

- 7. The defendant, ERNEZ TOMAZ AUSTIN, agrees that pursuant to Title 18, United States Code, Section 3613, all monetary penalties, including restitution imposed by the Court, shall be due immediately upon judgment and subject to immediate enforcement by the United States. The defendant agrees that if the Court imposes a schedule of payments, the schedule of payments shall be merely a schedule of minimum payments and shall not be a limitation on the methods available to the United States to enforce the judgment.
- 8. The defendant, ERNEZ TOMAZ AUSTIN, agrees that his debt resulting from the criminal monetary penalties due under the criminal judgment will be submitted to the Treasury Offset Program even if he is current in his payments under any Court imposed payment schedule.
- 9. It is further understood that the United States and the defendant, ERNEZ TOMAZ AUSTIN, reserve the right to bring to the Court's attention any facts deemed relevant for purposes of sentencing.
- 10. The defendant, ERNEZ TOMAZ AUSTIN, further understands and agrees that pursuant to Title 18, United States Code, Section 3013, for any offense committed on or after October 11, 1996, the defendant shall pay an

assessment to the Court of \$100 for each offense to which he is pleading guilty. This payment shall be made at the time of sentencing by cash or money order made payable to the Clerk of the United States District Court. If the defendant is indigent and cannot make the special assessment payment at the time of sentencing, then the defendant agrees to participate in the Inmate Financial Responsibility Program for purposes of paying such special assessment.

11. No agreements, representations, or understandings have been made between the parties in this case other than those which are explicitly set forth in this Plea Agreement, and none will be entered into unless executed in writing and signed by all the parties.

This the 2nd day of February, 2024.

SANDRA J. HAIRSTON United States Attorney

Attorney for Defendant

JOANNA G. MCFADDEN

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NCSB # 60561

Assistant United States Attorney

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Ernez Austin ERNEZ TOMAZ AUSTIN

Defendant

/s/ STI